

13 Q. Okay, what are the other things you were thinking
14 of besides your Series 7?

15 A. Well, I am a principal in a firm, and to be a
16 principal, there is some kind of documentation required. I
17 can't recall what the official name is.

18 Q. Thank you.

19 You said you were a principal of a firm. What firm is
20 that?

21 A. Adams, Viner and Mosler. Excuse me, that's not
22 the name anymore. AVM Securities.

23 Q. You say you are the principal. Is AVM Securities
24 an incorporated entity?

25 A. It's a -- no, it's a broker dealer, and it's a
1 LLC, I believe. No, it's LP, limited partnership.

2 Q. Okay. You mentioned three names, what were those
3 names a moment ago?

4 A. Well, AVM was originally Adams, Viner and Mosler,
5 and it was shortened to just AVM. That was the name of the
6 firm.

7 Q. And Mosler is you; correct?

8 A. Yes.

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9 Q. So when you do your consulting work, are you paid
10 on commission, or are you paid like hourly for your time?
11 How does that work?

12 A. I am a 10 percent equity participant in the firm,
13 so I receive 10 percent of the profits.

14 Q. How do clients of AVM Securities, LP pay that
15 company?

16 A. They'll pay commissions at the time of sale.

17 Q. Okay. Are you affiliated in any way with any
18 other companies besides AVM Securities, LP?

19 A. Yes. Triple I, that's III, LP.

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19 Q. -- 5013 Chandler's Wharf, Suite 2, was that your
20 address on June 29, 2011?

21 A. Yeah, that was my office.

22 Q. That was your office address. What office was
23 that? What company?

24 A. Valance Company.

25 Q. I'm sorry, say that again.

1 A. Valance, V-a-l-a-n-c-e.

2 Q. What did Valance Company do?

3 A. Valance, it owns the interest in AVM and III.

4 The 10 percent interest is owned by Valance.

18 · · · Q · Okay · Who is Rob Printz, P-R-I-N-T-Z?

19 · · · A · He works in -- for Adams Fiber and Mosler and

20 · Triple I · It's my financial company that I was a

21 · partner in at the time.

22 · · · Q · I'm sorry, did you say Adams what?

23 · · · A · Adams Fiber and Mosler, AVM, AVM Securities.

24 · · · Q · Do you no longer work at AVM?

25 · · · A · No · I'm no longer a partner.

·1 · · · Q · ***When did you cease being a partner at AVM?***

·2 · · · A · ***Formally, about three years ago.***

·3 · · · Q · ***Was there any sort of a buyout or anything?***

·4 · · · A · ***No.***

·5 · · · Q · You received no compensation for your

·6 · partnership interest in Adams Fiber Mosler?

·7 · · · · · MR. WEBER · Scott, we're --

·8 · · · A · No.

- *According to SEC documentation, a partner at AVM received a \$44,000,000 buyout at the same timeframe that Mr. Mosler is testifying about above: 2017.*

13 · · · Q · All right · And in 20- -- in July of **2011**, did

14 · you own any companies that had offices in Boca Raton,

15 · Florida?

16 · · · A · So, I didn't -- I own any companies? · I was a

17 · **partner in AVM and Triple I**, which had headquarters in

18 · Boca Raton.